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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

	Application Number	09/814,673	
	Filing Date	March 22, 2001	
	First Named Inventor	Matthew Murasko	
	Art Unit	2879	
	Examiner Name	Ken Berck	
Total Number of Pages in This Submission	12 + 127 refs.	Attorney Docket Number	391133

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 127 cited references; certificate of mailing; return postcard; Substitute for Form 1449A/PTO		
<table border="1"><tr><td>Remarks</td><td></td></tr></table>			Remarks	
Remarks				

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	David Lee Lathrop & Gage L.C.
Signature	
Date	4/13/04

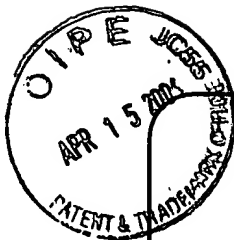
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Linda Levin		
Signature		Date	4/13/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180

Complete if Known

Application Number	09/814,673
Filing Date	March 22, 2001
First Named Inventor	Matthew Murasko
Examiner Name	Ken Berck
Art Unit	2879
Attorney Docket No.	391133

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order

☒ Deposit Account:

Deposit
Account
Number

12-0600

Deposit
Account
Name

Lathrop & Gage L.C.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 0)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		-20 **	=	0	X		=	0
Independent Claims		-3 **	=	0	X		=	0
Multiple Dependent					X		=	0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 180)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	David Lee	Registration No. (Attorney/Agent)	41,935	Telephone	(720) 931-3021
Signature	<i>David Lee</i>	Date	4/13/04		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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41

\$ 90/2879

CERTIFICATE OF MAILING (37 CFR 1.8)

Applicant(s): Matthew Murasko et al.

Matter No.

391133

Serial No.

Filing Date

Examiner

Group Art Unit

09/814,673

March 22, 2001

Ken Berck


2879

Invention: ELECTROLUMINESCENT SIGN

I hereby certify that this: Transmittal Form (1 page); FY2004 Fee Transmittal Form (1 page, in duplicate); Information Disclosure Statement Filed Under 37 C.F.R. §1.97(c)(2) (1 page); Form 1449 (7 pages); Copies of 127 References Cited; Certificate of Mailing (1 page); authorization to charge additional fees that may be required, or credit any overpayment, to Deposit Account No. 12-0600 and return postcard are being deposited with the United States Postal Service under 37 CFR 1.8 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 13th day of April, 2004.

Linda Levin

Name of Depositor


Signature of Depositor



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Matthew Murasko

Group No.: 2879

Serial No.: 09/814,673

Examiner: Ken Berck

Filed: March 22, 2001

For: ELECTROLUMINESCENT SIGN

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
FILED UNDER 37 C.F.R. § 1.97(c)(2)

This information disclosure statement is being filed pursuant to 37 CFR 1.97 (c)(2). This information disclosure statement is accompanied by the fee set forth under 1.17(p).

Enclosed are seven sheets of FORM PTO-1449 (modified) together with copies of all prior art references cited thereon.

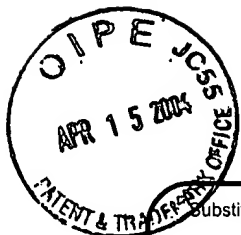
A fee transmittal authorizing the charge of the \$180.00 fee to Deposit Account 12-0600 is accompanied herewith. If any additional fee is due in connection with this request, the undersigned authorizes that it be applied to Deposit Account 12-0600.

Respectfully submitted,

David Lee, Reg. No. 41,935
LATHROP & GAGE, L.C.
4845 Pearl East Circle, Suite 300
Boulder, Colorado 80301
(720) 931-3021 (phone)
(720) 931-3001 (fax)

04/16/2004 ZJUHA1 00000081 120600 09814673

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 7

Complete if Known

Application Number	09/814,673
Filing Date	March 22, 2001
First Named Inventor	Matthew Murasko
Art Unit	2879
Examiner Name	Ken A Berck
Attorney Docket Number	391133

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	21	US-4,266,164	05-05-1981	Schroeder	
	22	US-4,279,726	07-21-1981	Baird et al.	
	23	US-4,319,308	03-09-1982	Ippoliti et al.	
	24	US-4,480,293	10-30-1984	Wells	
	25	US-4,486,487	12-04-1984	Skarp	
	26	US-4,559,586	12-17-1985	Slarve	
	27	US-4,570,206	02-11-1986	Deutsch	
	28	US-4,571,350	02-18-1986	Parker et al.	
	29	US-4,617,195	10-14-1986	Mental	
	30	US-4,626,742	12-02-1986	Mental	
	31	US-4,645,970	02-24-1987	Murphy	
	32	US-4,652,981	03-24-1987	Glynn	
	33	US-4,667,274	05-19-1987	Daniel	
	34	US-4,709,307	11-24-1987	Branom	
	35	US-4,748,375	05-31-1988	Lindmayer	
	36	US-4,803,402	02-07-1989	Raber et al.	
	37	US-4,829,213	05-09-1989	Pecile et al.	
	38	US-4,862,331	08-29-1989	Hanabusa	
	39	US-4,875,144	10-17-1989	Wainwright	
	40	US-4,877,995	10-31-1989	Thioulouse et al.	

FOREIGN PATENT DOCUMENTS

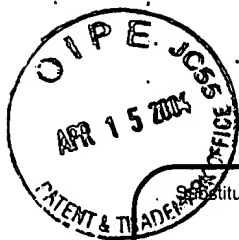
Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 3 of 7

Complete if Known

Application Number	09/814,673
Filing Date	March 22, 2001
First Named Inventor	Matthew Murasko
Art Unit	2879
Examiner Name	Ken A Berck
Attorney Docket Number	391133

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	41	US-4,887,003	12-12-1989	Parker	
	42	US- 4,891,736	01-02-1990	Gouda	
	43	US- 4,893,356	01-16-1990	Waters	
	44	US- 4,901,211	02-13-1990	Shen	
	45	US- 4,904,901	02-27-1990	Simopoulos et al.	
	46	US- 4,945,458	07-31-1990	Batts et al.	
	47	US- 4,956,752	09-11-1990	Foglietti	
	48	US- 4,999,936	03-19-1991	Calamia et al.	
	49	US- 5,005,306	04-09-1991	Kinstler	
	50	US- 5,019,438	05-28-1991	Rapisarda	
	51	US- 5,040,099	08-13-1991	Harris	
	52	US- 5,051,654	09-24-1991	Nativi et al.	
	53	US- 5,067,063	09-19-1991	Granneman et al.	
	54	US- 5,111,366	05-05-1992	Rife et al.	
	55	US- 5,121,234	06-09-1992	Kucera	
	56	US- 5,122,939	06-16-1992	Kazdan et al.	
	57	US- 5,128,844	07-07-1992	Landaïs	
	58	US- 5,138,539	08-11-1992	Honda et al.	
	59	US- 5,151,678	09-29-1992	Veltri et al.	
	60	US- 5,198,723	03-30-1993	Parker	

FOREIGN PATENT DOCUMENTS

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		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

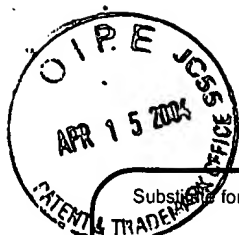
Examiner
SignatureDate
Considered

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PTO/SB/08a (08-03)



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Sheet 5 of 7

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		Number - Kind Code ² (if known)			
	81	US-5,663,573	09-02-1997	Epstein et al.	
	82	US- 5,667,417	09-16-1997	Stevenson	
	83	US- 5,667,724	09-16-1997	Peterson	
	84	US- 5,697,175	12-16-1997	Swartz	
	85	US- 5,697,305	12-16-1997	Chasan et al.	
	86	US- 5,703,436	12-30-1997	Forrest et al.	
	87	US- 5,814,947	09-29-1998	Brownell	
	88	US- 5,853,905	12-29-1998	So et al.	
	89	US- 5,856,029	01-05-1999	Burrows	
	90	US- 5,856,030	01-05-1999	Burrows	
	91	US- 5,856,031	01-05-1999	Burrows	
	92	US- 5,911,496	06-15-1999	Hojnacki	
	93	US- 5,957,564	09-28-1999	Bruce et al.	
	94	US- 5,965,981	10-12-1999	Inoguchi et al.	
	95	US- 5,976,613	11-02-1999	Janusauskas	
	96	US- 5,980,976	11-09-1999	Burrows	
	97	US- 6,013,985	01-11-2000	Green et al.	
	98	US- 6,031,468	02-29-2000	Tsao et al.	
	99	US- 6,050,010	04-18-2000	Garcia et al.	
	100	US- 6,060,838	05-09-2000	Cantoni et al.	

FOREIGN PATENT DOCUMENTS

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(Use as many sheets as necessary)

Sheet 6 of 7

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Application Number	09/815,673
Filing Date	March 22, 2001
First Named Inventor	Matthew Murasko
Art Unit	2879
Examiner Name	Ken A Berck
Attorney Docket Number	391133

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		Number - Kind Code ² (if known)			
	101	US-6,069,444	05-30-2000	Krafcik et al.	
	102	US- 6,107,213	08-22-2000	Tayanaka	
	103	US- 6,116,745	09-12-2000	Yei	
	104	US- 6,137,221	10-24-2000	Roitman et al.	
	105	US- 6,157,138	12-05-2000	Andersson	
	106	US- 6,168,283	01-02-2001	Howell	
	107	US- 6,203,391	03-20-2001	Murasko	
	108	US- 6,205,690	03-27-2001	Heropoulos et al.	
	109	US- 6,261,633	07-17-2001	Burrows	
	110	US- 6,262,531	07-17-2001	Inoguchi et al.	
	111	US- 6,310,589	10-30-2001	Nishigaki et al.	
	112	US- 6,353,291	05-05-2002	Borgogno et al.	
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		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	113	DE - 3042159	06-16-82	Kramer et al.		
	114	EP - 166534	01-02-86	Infratron Limited		
	115	FR - 1401264	04-26-65	Rene Havard		
	116	GB - 2107039	04-20-83	Norman Blunt		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	09/814,673		
		Filing Date	March 22, 2001		
		First Named Inventor	Matthew Murasko		
		Art Unit	2879		
		Examiner Name	Ken A Berck		
Sheet	7	of	7	Attorney Docket Number	391133

NON PATENT LITERATURE DOCUMENTS			
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	117	Microfilm of Japanese Utility Model Application No. 63-115851 corresponding to Japanese Unexamined Utility Model Publication (Kokai) No. 2-36893	
	118	Japanese Unexamined Patent Publication (Kokai) No. 6-275382	
	119	Japanese Unexamined Patent Publication (Kokai) No. 63-299091	
	120	Japanese Unexamined Patent Publication (Kokai) No. 3-163794	
	121	Japanese Unexamined Patent Publication (Kokai) No. 5-129081	
	122	Japanese Unexamined Patent Publication (Kokai) No. 60-218797	
	123	Japanese Unexamined Patent Publication (Kokai) No. 3-133090	
	124	Microfilm of Japanese Utility Model Application No 61-133567 corresponding to Japanese Unexamined Utility Model Publication (Kokai) No. 63-39895	
	125	Japanese Unexamined Patent Publication (Kokai) No. 60-133692	
	126	Let There Be Light: Screen Printing EL Lamps For Membrane Switches, Screenprinting, Graphics and Industrial Printing, dated Jan. 1999, 5 pages.	
	127	Processing Guide for DuPont Luxprint* Electroluminescent Inks, DuPont Photopolymer & Electronic Materials, dated Nov. 1997, 6 pages.	

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